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Substitute for form 1449/PTO				<b><i>Complete if Known</i></b>	
				Application Number	10/690,243
				Filing Date	October 20, 2003
				First Named Inventor	Michael Frederick KENRICH
				Art Unit	2132
				Examiner Name	Farid HOMAYOUNMEHR
Sheet	1	of	16	Attorney Docket Number	2222.5460000

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
US1	4,203,166		05-13-1980	Eshram et al.	
US2	4,734,568		03-29-1988	Watanabe	
US3	4,757,533		07-12-1988	Allen et al.	
US4	4,796,220		01-01-1989	Wolfe	
US5	4,799,258		01-17-1989	Davies	
US6	4,827,508		05-02-1989	Shear	
US7	4,888,800		12-19-1989	Marshall et al.	
US8	4,972,472		11-20-1990	Brown et al.	
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US18	5,319,705		06-07-1994	Halter et al.	
US19	5,369,702		11-29-1994	Shanton	
US20	5,375,169		12-20-1994	Seheidt et al.	

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		Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY		
FP1	EP 0 674 253 A1		09-27-1995	Kabushiki Kaisha Toshiba	
FP2	EP 0 809 170 A1		11-26-1997	NCR International Inc.	
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FP6	EP 1 130 492 A2		09-05-2001	Sony Corporation	
FP7	EP 1 154 348 A2		11-14-2001	Matsushita Electronics Corp.	
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FP9	EP 0 672 991 A2		09-20-1995	Narasimhalu et al.	

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	US21	5,404,404	04-01-1995	Novorita	
	US22	5,406,628	04-11-1995	Beller et al.	
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	FP11	JP 2001-036517	02-09-2001	Lucent Technol, Inc.	
	FP12	WO 00/56028 A2	09-21-2000	Texas Software Corp.	
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	FP17	WO 01/84271 A2	11-08-2001	Certia, Inc.	
	FP18				

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Sheet	3	of	16	Attorney Docket Number	2222.5460000

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	US42	5,729,734	03-17-1998	Parker et al.	
	US43	5,745,573	04-28-1998	Lipner et al.	
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	US45	5,751,287	05-12-1998	Hahn et al.	
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	US58	5,870,468	02-09-1999	Harrison	
	US59	5,870,477	02-09-1999	Sasaki et al.	
	US60	5,881,287	03-09-1999	Mast	

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	US61	5,892,900	04-06-1999	Ginter et al.	
	US62	5,893,084	04-06-1999	Morgan et al.	
	US63	5,898,781	04-27-1999	Shanton	
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	US65	5,933,498	08-03-1999	Schmek et al.	
	US66	5,944,794	08-31-1999	Okamoto et al.	
	US67	5,953,419	09-14-1996	Lohstroh et al.	
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	US71	5,991,879	11-23-1999	Still	
	US72	6,023,506	02-08-2000	Ote et al.	
	US73	6,032,216	02-29-2000	Schmuck et al.	
	US74	6,038,322	03-14-2000	Harkins	
	US75	6,044,155	03-28-2000	Thomlinson et al.	
	US76	6,055,314	04-25-2000	Spies et al.	
	US77	6,058,424	05-02-2000	Dixon et al.	
	US78	6,061,790	05-09-2000	Bodnar	
	US79	6,088,717	07-11-2000	Reed et al.	
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	FP28				T <sup>6</sup>
	FP29				
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Substitute for form 1449/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/690,243
				Filing Date	October 20, 2003
				First Named Inventor	Michael Frederick KENRICH
				Art Unit	2132
				Examiner Name	Farid HOMAYOUNMEHR
Sheet	7	of	16	Attorney Docket Number	2222.5460000

U.S. PATENT DOCUMENTS					
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		Number-Kind Code <sup>2</sup> (If Known)	MM-DD-YYYY		
	US121	6,389,538 B1	05-14-2002	Gruse et al.	
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	US123	6,421,714 B1	07-16-2007	Rai et al.	
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		Country Code <sup>4</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/690,243
Sheet	13	of	16	Filing Date	October 20, 2003
				First Named Inventor	Michael Frederick KENRICH
				Art Unit	2132
				Examiner Name	Farid HOMAYOUNMEHR
				Attorney Docket Number	2222.5460000

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				Application Number	10/690,243
				Filing Date	October 20, 2003
				First Named Inventor	Michael Frederick KENRICH
				Art Unit	2132
				Examiner Name	Farid HOMAYOUNMEHR
Sheet	14	of	16	Attorney Docket Number	2222.5460000

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	US261	2003/0023559 A1	01-30-2003	Choi et al.	
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	US281	2004/0025037 A1	02-05-2004	Hair	
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	US318				
	US319				
	US320				

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Sheet	1	of	6	<i>Attorney Docket Number</i>	2222.5460000

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	NPL1	Expiration Mechanism for Chipcards, IBM Technical Disclosure Bulletin, October 1, 2001, UK		
	NPL2	McDANIEL et al. "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999		
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	NPL4	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from <a href="http://dictionary.reference.com/search?q=affect">http://dictionary.reference.com/search?q=affect</a>		
	NPL5	"Inside Encrypting file system," Part 1, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001		
	NPL6	"Inside Encrypting file system," Part 2, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001		
	NPL7	"Security with Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001		
	NPL8	"How EFS work," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001		
	NPL9	" Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001		
	NPL10	" Features of EFS" from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001		

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Sheet	2	of	6	<i>Attorney Docket Number</i>	2222.5460000

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	NPL11	" Windows 2000 EFS" in the April 1999 issue of Windows NT magazine	
	NPL12	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
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<p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
				<i>Application Number</i>	10/690,243
				<i>Filing Date</i>	October 20, 2003
				<i>First Named Inventor</i>	Michael Frederick KENRICH
				<i>Art Unit</i>	2132
				<i>Examiner Name</i>	Farid HOMAYOUNMEHR
Sheet	3	of	6	<i>Attorney Docket Number</i>	2222.5460000

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published			T <sup>2</sup>
	NPL21	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.			
	NPL22	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine " inventor Thomas, July 13, 2004, 18 pgs.			
	NPL23	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zuili, December 21, 2001, 38 pgs.			
	NPL24	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.			
	NPL25	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.			
	NPL26	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.			
	NPL27	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.			
	NPL28	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.			
	NPL29	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.			
	NPL30	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.			

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	NPL31	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.		
	NPL32	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.		
	NPL33	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.		
	NPL34	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.		
	NPL35	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.		
	NPL36	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.		
	NPL37	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.		
	NPL38	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.		
	NPL39	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.		
	NPL40	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.		

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	NPL41	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.		
	NPL42	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.		
	NPL43	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.		
	NPL44	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.		
	NPL45	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.		
	NPL46	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.		
	NPL47	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.		
	NPL48	"Security Options". December 20, 2001. DC & Co. pages 1-2		
	NPL49	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.		
	NPL50	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.		

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	NPL51	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.		
	NPL52	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.		
	NPL53	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.		
	NPL54	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.		
	NPL55	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.		
	NPL56	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.		
	NPL57	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.		
	NPL58	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.		
	NPL59	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.		
	NPL60			

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